

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

**FILING COMPLETION UNDER RULE 53(f)**

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

**PATENT  
APPLICATION**

**COMPLETION Under  
Rule 53(f)**

In re **PATENT APPLICATION**

Inventor(s): SHIMADA et al.

Appln. No.:

09

727,386

Atty. Dkt.

P

275953

SH-0022US

Series Code 0

Serial No. 0

M#

Client Ref

Filed: December 1, 2000

Title: METHOD FOR MANUFACTURING BASE MATERIAL FOR OPTICAL FIBER, APPARATUS THEREFOR,  
AND BASE MATERIAL MANUFACTURED BY THE SAME

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: May 11, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. #  pages of Specification (only spec. & claims)
  - c. ☐ Drawing(s) No of Sheets
  - ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 11-341616	December 1, 1999	(2) 11-341834	December 1, 1999
(3) 11-359202	December 17, 1999	(4) 2000-17021	January 26, 2000
(5) 2000-47135	February 24, 2000	(6) 2000-100418	April 3, 2000
(7) 2000-102643	April 4, 2000	(8) 2000-119186	April 20, 2000

9. 8 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. 1 filed on \_\_\_\_\_
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Information Disclosure of Related Art(s) and Form PTO-1449, along with cited documents
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....				Design Application	\$320/\$160	
				Not Design Application	\$710/\$355	+710
14. Total Effective Claims	72	minus 20 =	52	x \$18/\$9	+936	103/203
15. Independent Claims	4	minus 3 =	1	x \$80/\$40	+80	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+270	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =				\$2126		
19. Original due date: May 12, 2001						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	115/215
is attached				(3mos)	\$890/\$445 =	116/216
				(4mos)	\$1390/\$695 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee .....				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$2166		

Our Deposit Account No. 03-3975

Our Order No. 7874275953

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

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 Ninth Floor  
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 Tel: (202) 861-3000  
 Atty/Sec: gjp/tnl

By Atty: Glenn J. PerryReg. No. 28458Sig: By [Signature] 11/8/98

Fax: (202) 822-0944  
 Tel: (202) 861-3070

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,386	12/01/2000	Tadakatsu Shimada	PM 275953 SH-0022US

Pillsbury Madison & Sutro LLP  
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Ninth Floor  
1100 New York Avenue, NW  
Washington, DC 20005-3918



CONFIRMATION NO. 3958

## FORMALITIES LETTER



\*OC000000005854053\*

Date Mailed: 03/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$602.
  - \$522 for 29 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1442.**

*A copy of this notice **MUST** be returned with the reply*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 00000037 09727386  
01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103  
05 FC:104  
710.00  
130.00  
80.00  
936.00  
270.00